

INFORMATION ON DATA PRIVACY PROTECTION ACCORDING TO ART  
13 AND ART 14 GDPR IN CONNECTION WITH GENERAL MEETINGS

CA Immobilien Anlagen Aktiengesellschaft, Mechelgasse 1, 1030 Wien (hereinafter referred to as "CA Immo" or "we") processes personal data in automation-supported systems to enable participation in the General Meeting and the exercise of shareholder rights in connection with the General Meeting. Personal data processed in this context will always be processed in compliance with the European General Data Protection Regulation ("GDPR") ("*Datenschutz-Grundverordnung*", *DSGVO*), applicable Austrian data protection laws, the Austrian Stock Corporation Act (AktG) and the Austrian Stock Exchange Act (BörseG) as well as any other relevant legal provisions.

The following information describes how we process your personal data in connection with the organization of the General Meeting of CA Immo.

## 1. Purpose of data processing

Most of the CA Immo-shares are bearer shares<sup>1</sup>. The entitlement to participate in the General Meeting and to exercise the voting right and other shareholder rights assertable at the General Meeting is determined by the share ownership on the record date. To participate in the General Meeting, shareholders must at that date provide evidence of their shareholding to the company. For bearer shares, evidence of share ownership on the record date must be issued by way of a deposit confirmation according to Section 10a Austrian Stock Corporation Act (AktG). We are processing your data from the deposit confirmation as evidence for the shareholding in order to enable you to attend the General Meeting and to exercise your rights as a shareholder.

The processing of personal data in connection with the organization of General Meetings is carried out in detail for the following purposes:

- organisation of attendance in the General Meeting by creation of a attendance list including all participants (shareholders and shareholder representatives);
- verification of the right to participate as a holder of bearer shares or proxy of a shareholder;
- processing of registration for the General Meeting (creation of a registration list);
- documentation of the granting and revocation of powers of attorney (creation of a list of proxies);
- exercise of shareholder rights at the General Meeting and the related broadcast in full in video and audio in real time on the Internet;
- drawing up the minutes of the General Meeting;
- ascertainment of the voting behavior and documentation of the voting result;
- fulfilment of compliance obligations, including recording, information and reporting obligations.

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<sup>1</sup> The following explanations also apply to holders of registered shares. However, for holders of registered shares beyond the data processing mentioned additional data processing is required.

Furthermore your personal data are processed to meet further statutory requirements such as supervisory regulations and retention requirements according to stock corporation, company and tax law if necessary.

## **2. Scope of data processing**

**Data from the deposit confirmation:** From the deposit confirmation, to be issued by the custodian bank, we process the following data: name, company, address, date of birth, registered office and company register number (for legal entities), issuer of the deposit confirmation, number of the securities account, the number and, if applicable, the nominal value of the shares; the class and international securities identification number; the time or period the deposit confirmation refers to.

If you do not provide the data on the deposit confirmation, we cannot check your ownership of shares. This would mean that you would not be allowed to attend the General Meeting and that you might not be granted other shareholder rights as well.

**Data from the proxy form, the proxy revocation form and the question form:** We collect data from the proxy, proxy revocation and question forms to be completed by the shareholders: Name, company name, address, date of birth, e-mail address, company register number (for legal entities), custodian bank, deposit number, signature/company signature, number of shares, data of the authorized special proxy, questions/speeches as well as voting instructions of the shareholder.

**Data of the proxies:** Every shareholder entitled to attend the General Meeting has the right to appoint a natural or legal person as proxy. We will collect the personal data of your proxy and sub-proxy, namely his name, address, e-mail address, company register number, date of birth as well as scope of the power of attorney.

**Data for submitting agenda items or proposed resolutions:** If a shareholder requests that items be placed on the agenda (Section 109 AktG) or that proposals for resolutions be made (Section 110 AktG), we will publish these items on CA Immo's website, stating the name of the shareholder and the share ownership, if the conditions in accordance with the provisions of the Austrian Stock Corporation Act are fulfilled.

**Video recording and official broadcasting of the virtual General Meeting:** Please note that the entire General Meeting will be broadcast. It is transmitted via the internet by means of an acoustic and visual one-way connection in real time (Section 3 para 4 COVID-19-GesV in conjunction with Section 102 para 4 AktG), including the report of the Executive Board, the general debate and, in particular, the questions posed by the shareholders. This is undertaken on the basis of the applicable legal provisions as well as the prevailing legitimate interest of CA Immo and its shareholders. The processing of the above-mentioned data is necessary to achieve the above-mentioned purposes. If the above data is not made available or not made available to the extent required, participation in the General Meeting is not possible.

## **3. Legal basis for data processing**

The legal basis for the processing of the data is Art 6 (1) lit c GDPR in conjunction with the Austrian Stock Corporation Act (AktG) and other statutory reporting and documentation obligations (the processing to comply with legal obligations of a listed stock corporation in Austria with bearer shares).

In some cases, it may be necessary that CA Immo has to process your data in order to safeguard justified interests (Art 6 para 1 lit f GDPR).

#### **4. Duration of data storage**

CA Immo will keep shareholder's personal data for the duration of legal retention periods. In addition, CA Immo keeps personal data if this is necessary in connection with claims that may be asserted against the company (statutory limitation period of 3 to 30 years).. Shareholder data will be anonymized or deleted as soon as it is no longer necessary for the purposes for which it was collected or processed, unless other legal obligations require further storage..

#### **5. Data recipient**

In the course of organizing and handling the General Meeting, CA Immo passes your personal data to external parties, such as notaries public, attorneys-at-law, banks, auditors and IT service providers and if required to other external service providers respectively authorities.

In particular, there may be a transfer to our subsidiary, CA Immo Deutschland GmbH, Friedrich-Ebert-Anlage 35-37, 60327 Frankfurt am Main, Germany, which we have commissioned with IT support services.

Further it may be necessary to transfer your personal data to other recipients in order to fulfill legal duties. If a shareholder attends the virtual General Meeting, all shareholders representatives, the members of the Executive and Supervisory Boards, the notary public and all other persons with a statutory right to attend are entitled to inspect the list of participants, which must be kept by law (Section 117 AktG), and are therefore also entitled to access your personal data listed therein.

If required, the personal data processed may be transmitted to the Vienna Stock Exchange, the Financial Market Authorities, the Oesterreichische Kontrollbank, the Austrian Takeover-Commission or other administrative or judicial authorities.

#### **6. Publication of personal data**

Please note that CA Immo is under legal obligation to attach personal data (including, without limitation, the list of participants of the AGM) to public documents (e.g. the notarized minutes of the AGM) and to submit those data to public registers (in particular the public register of documents at the commercial register) (Section 120 AktG). Further, CA Immo is under legal obligation to mention personal data in public documents (e.g. the name of a shareholder if the shareholder files a motion during the AGM). In such cases, a deletion of personal data is not possible.

Together with the list of participants, the following personal data are published: number of voting card associated with each shareholder, name of the shareholder and if applicable, the representatives, place of residence (name of the town without details of the address), number of shares registered for participation from each shareholder, the class of the registered shares, and assigned voting groups if any.

Further, in the event of a trespassing of certain thresholds, CA Immo is under legal obligation to publish certain personal data of shareholders (notification of major shareholdings).

Additionally, if the respective conditions of the Austrian Stock Corporation Act (AktG) are fulfilled, CA Immo is legally obliged to publish personal shareholder data on the website of CA Immo if a shareholder requests that items shall be added to the agenda (Section 109 AktG) or proposed resolutions shall be taken (Section 110 AktG)..

## **7. Your rights**

As a data subject, you have the following rights: Right to withdraw consent, right of access, right to rectification, right to erasure, right to restriction of processing, right to object, right to data portability and right to complain.

For more Information on your rights please refer to <https://www.caimmo.com/en/data-protection-declaration/>.

## **8. Contact**

For all data protection concerns, especially regarding the assertion of your rights, please contact us in writing (by letter or e-mail) by using the address given in the imprint. Alternatively, you can also contact our data protection officer directly ([dsb@caimmo.com](mailto:dsb@caimmo.com)).

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