

INFORMATION ON DATA PRIVACY PROTECTION ACCORDING TO ART  
13 AND ART 14 GDPR IN CONNECTION WITH GENERAL MEETINGS

CA Immobilien Anlagen Aktiengesellschaft (hereinafter referred to as "CA Immo" or "we") uses various automation-supported systems to enable participation in the General Meeting and the exercise of shareholder rights in connection with the General Meeting. Personal data received in this context will always be processed in compliance with the European General Data Protection Regulation ("GDPR") ("*Datenschutz-Grundverordnung*", *DSGVO*), the Austrian Data Protection Act, the Austrian Stock Corporation Act (AktG) and the Austrian Stock Exchange Act (BörseG) as well as any other relevant legal provisions.

The following information describes how we process your personal data in connection with the organization of the General Meeting of CA Immo.

## 1. DATA PROCESSING

### 1.1 Purpose of data processing

Most of the CA Immo-shares are bearer shares<sup>1</sup>. The entitlement to participate in the General Meeting and to exercise the voting right and other shareholder rights assertable at the General Meeting is determined by the share ownership on the record date. To participate in the General Meeting, shareholders must evidence their shareholding at that date. For bearer shares, evidence of share ownership on the record date must be issued by way of a deposit confirmation according to Section 10a Austrian Stock Corporation Act (AktG). We are processing your data from the deposit confirmation as evidence for the shareholding in order to enable you to attend the General Meeting and to exercise your rights as a shareholder.

The processing of personal data in connection with the organization of General Meetings is carried out in detail for the following purposes:

- organisation of attendance in the General Meeting by creation of a attendance list including all participants (shareholders, proxies and guests);
- verification of the right to participate as a holder of bearer shares or proxy of a shareholder;
- processing of registration for the General Meeting (creation of a registration list);
- documentation of the granting and revocation of powers of attorney (creation of a list of proxies);
- exercise of shareholder rights at the General Meeting;
- drawing up the minutes of the General Meeting;
- recognition of the voting behavior and documentation of the voting result;

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<sup>1</sup> The following explanations also apply to holders of registered shares. However, for holders of registered shares beyond the data processing mentioned additional data processing is required.

- fulfilment of compliance obligations, including recording, information and reporting obligations.

Further your personal data are processed to meet further statutory requirements such as supervisory regulations and retention requirements according to stock corporation, company and tax law.

## 1.2 Scope of data processing

**Data from the deposit confirmation:** From the deposit confirmation, to be issued by the custodian bank, we process the following data: name, company, address, date of birth, registered office, company register number, issuer of the deposit confirmation, number of the securities account, the number and, if applicable, the nominal value of the shares; the class and international securities identification number; the time or period the deposit confirmation refers to.

If you do not provide the data on the deposit confirmation, we cannot check your ownership of shares. This would mean that you would not be allowed to attend the General Meeting and that you might not be granted other shareholder rights.

**Data for admission to the General Meeting:** Upon entry to the General Meeting, we collect your ID data (ID number, type of ID and issuing authority) for identification as a participant, as well as the number of the voting card, in the event that you participate as a shareholder or his or her proxy.

To verify your identity at the entrance to the General Meeting, an official photo ID of each participant (driving license, passport, ID card) must be presented; ID number, type of ID and issuing authority will be recorded by us.

**Data of the proxies:** Every shareholder, entitled to attend the General Meeting, has the right to appoint a natural or legal person as proxy. We must also process the data of this proxy (including his ID data) accordingly. In the event that you are represented at the General Meeting, we will collect the data of your proxy, namely his name, address, company register number, date of birth, scope of the power of attorney and ID data.

**Data for the submission of agenda items or proposed resolutions:** If a shareholder requests that items shall be added to the agenda (Section 109 AktG) or proposed resolutions shall be taken (Section 110 AktG), we will publish these items on CA Immo's website, stating the name of the shareholder and the shareholding, if the conditions of the Austrian Stock Corporation Act (AktG) are fulfilled.

## 1.3 Legal basis for data processing

The legal basis for the processing of the data is the Austrian Stock Corporation Act (AktG) in conjunction with Art 6 para 1 lit c GDPR, the processing to comply with legal obligations of a listed stock corporation in Austria with bearer shares. Furthermore, the processing and transmission of data serves the fulfilment of certain statutory reporting and documentation obligations (in conjunction with Art 6 para 1 lit c GDPR). In some cases it may be necessary that CA Immo has to process your data in order to safeguard justified interests (Art 6 para 1 lit f GDPR).

## **1.4 Data recipient**

In the course of organising and performing the General Meeting, CA Immo passes your personal data to external service providers, such as notaries public, attorneys-at-law, banks, auditors and IT service providers and if required to other external service providers.

Further it may be necessary to transfer your personal data to other recipients, in order to fulfill legal duties. If a shareholder attends the General Meeting, all shareholders present or their representatives, the members of the Executive and Supervisory Boards, the notary public and all other persons with a statutory right to attend are entitled to inspect the list of participants, which must be kept by law (Section 117 AktG), and are therefore also entitled to access your personal data listed therein.

Furthermore, CA Immo is under legal obligation to submit personal shareholder data (including, without limitation, the list of participants) to the commercial register as part of the notarized minutes (Section 120 AktG). If required, the personal data processed may be transmitted to the Vienna Stock Exchange, the Financial Market Authorities, the Oesterreichische Kontrollbank or other administrative or judicial authorities. In the course of its usual business activities it may be necessary that CA Immo passes your personal data to affiliates of CA Immo.

## **1.5 Duration of data storage**

CA Immo will keep shareholder's personal data for the duration of legal retention periods. In case that claims are made against the company, CA Immo will keep personal data, where necessary (legal retention periods of 3 up to 30 years). Provided that there is/are no legal burden of proof or retention periods, your personal data will basically be deleted or anonymised as soon as they are no longer required for the above purposes.

## **1.6 Publication of personal data**

Please note that CA Immo is under legal obligation to attach personal data (including, without limitation, the list of participants of the AGM) to public documents (i.e the notarized minutes of the AGM) and to submit those data to public registers (the public register of documents at the commercial register). Further, CA Immo is under legal obligation to mention personal data (i.e the name of a shareholder if the shareholder files a motion during the AGM). In such cases, a deletion of personal data is not possible.

Together with the list of participant, the following personal data are published: number of voting card associated to each shareholder, name of the shareholder and if any, the representatives, place of residence (name of the town without details of the address), number of shares registered for participation from each shareholder, the class of the registered shares, and assigned voting groups if any.

Further, in the event of a trespassing of certain thresholds, CA Immo is under legal obligation to publish certain personal data of shareholders (notification of major shareholdings).

Additionally, CA Immo has to publish personal shareholder data on the website of CA Immo if a shareholder requests that items shall be added to the agenda (Section 109 AktG) or proposed resolutions

shall be taken (Section 110 AktG), if the conditions of the Austrian Stock Corporation Act (AktG) are fulfilled.

## **2. YOUR RIGHTS**

You may at any time request to receive information concerning the data stored in relation to your person. Under certain conditions you may request the deletion or restriction on processing of your data. In case that your personal data are processed to safeguard justified interests you may at any time object to the processing. In this case, we will no longer process your personal data, unless CA Immo can prove legitimate reasons for processing that outweigh your interests, or the processing serves to assert, exercise or defend legal claims.

For more Information on your rights please refer to <https://www.caimmo.com/en/data-protection-declaration/>.

In case of comments, complaints or queries please contact CA Immo's data protection officer by e-mail [dsb@caimmo.com](mailto:dsb@caimmo.com) or at the following address

**CA Immobilien Anlagen AG**  
Group Data Protection Officer  
Mechelgasse 1  
1030 Vienna.

Further you have the right to consult the data protection supervisory authority with any complaints or notices. The competent authority for CA Immo is:

**Austrian Data Protection Authority**  
Wickenburggasse 8  
1080 Vienna  
[dsb@dsb.gv.at](mailto:dsb@dsb.gv.at)

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